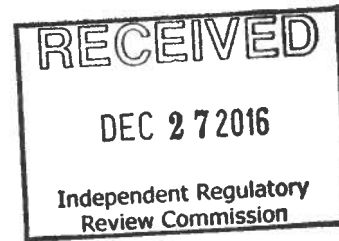


Kroh, Karen #3160

#14-540-(187)

From: Mochon, Julie
Sent: Tuesday, December 20, 2016 1:45 PM
To: Kroh, Karen
Subject: FW: Comments on 6100 Regulations
Attachments: KJ cover letter for 6100 comments.pdf; Emmaus Comments on Ch 6100.docx

From: Karen Jacobsen [mailto:kdjacobsen@emmauspgh.org]
Sent: Tuesday, December 20, 2016 1:00 PM
To: Mochon, Julie <jmochon@pa.gov>
Subject: Comments on 6100 Regulations



Hi Julie,

Attached are my comments on the proposed 6100 regs.

Thank you,

Karen

Karen D. Jacobsen
Executive Director
Emmaus Community of Pittsburgh
A Community that Serves Persons with Intellectual Disabilities and Promotes Public Awareness of Their Needs

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"The spirit of a community is more than a way of life. It is a hope, an incarnation of love." --Jean Vanier

United Way of Allegheny County Contributor Choice Agency #9123
3 Rivers Combined Federal Campaign Code #34738

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Emmaus Community of Pittsburgh

A Community that Serves Persons with Intellectual Disabilities and Autism and Promotes Public Awareness of Their Needs

December 20, 2016

Ms. Julie Mochon

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Lorraine Sweeney Wagner

Karen D. Jacobsen
Executive Director

Human Service Program Specialist Supervisor
Office of Developmental Programs
Room 502, health and Welfare Building
625 Forster Street
Harrisburg PA 17120

Dear Ms. Mochon:

Thank you for the opportunity to submit comments on the proposed Chapter 6100 regulations, "Support For Individuals With an Intellectual Disability or Autism." I have been working in the field for more than 25 years, and I am happy to participate in this process.

The Emmaus Community of Pittsburgh is dedicated to providing high quality services to people with Intellectual Disability and Autism, and we look forward to helping the Office of Developmental Programs live out the mission of providing individuals with "Everyday Lives."

Sincerely,

Karen D. Jacobsen

Executive Director

2821 Sarah Street
Pittsburgh, PA 15203
Phone: 412-381-0277
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Karen Jacobsen, Executive Director
Emmaus Community of Pittsburgh, Comments
Chapter 6100

Citation: 6100.1. Purpose (a)
Discussion: wording is confusing

Recommendation:

This chapter governs the provision of and payment for Home and Community Based Services (HCBS) and base-funded services to individuals with an intellectual disability or autism.

Citation: 6100.2. Applicability
Discussion: Licensing and the regulations put forth here sometimes conflict.

Recommendation: Add "In the event of a conflict between the regulations set out in this Chapter and related but separate licensing regulations, the licensing regulations apply and supersede this Chapter."

Citation: 6100.3. Definitions
Discussion: The definition of "family" needs to be revised to "family member" – and, if it really means anyone that the individual considers to be part of his /her "core" family – then perhaps "core family" needs to be defined. Also "natural supports" might involve reimbursement, just not through the waiver.

Recommendation: Remove "Natural" from definition of family, and re-word "natural support"

Citation: 6100.42. Monitoring compliance
Discussion: Having multiple AEs complete monitoring is time consuming and costly and frankly unnecessary. Re: corrective action plan: it does not seem reasonable to be required to have a CAP for an "alleged violation" if the allegation turns out to be FALSE.

Recommendation: Specify that only ONE AE should be allowed / required to complete provider monitoring

Do not require CAPs for false allegations.

Citation: 6100.43. Regulatory waiver
Discussion: When a waiver is requested it is very rarely due to a temporary condition. It is almost always due to a permanent need the individual has. An annual request is a costly and redundant exercise. Additionally, re: (f) if the individual's health and safety is in jeopardy without "immediate protection" then it is not reasonable to wait 44 hours to implement" (24 to notify the individual and their designee and another 20 for the department to respond)

Recommendation: Allow waivers to renew automatically UNLESS there is a life changing event that warrants it's revocation.

A great deal of research and knowledge is now available on supporting individuals with complex behavioral healthcare needs. Often, Behavior Specialists are recommending interventions that are not allowed by regulation or waiver. Direct Support Professionals, supervisors, Program Specialist and Directors are often put in the position of explaining that we, as a provider, are not allowed to implement certain recommendations without prior written approval from the Department. Recommend that the Department give discretion to highly educated trained, professionals to make determinations about clinically sound interventions.

Citation: 6100.44. Innovation project

Discussion: It is unclear as to how "innovation projects" will be funded. It is also unclear just how "innovative" a project can be given that it must comply with ALL waivers, waiver amendments, and regulations. Additionally, b(15) gives the impression that perhaps the Department is already aware of or has a pre-conceived notion of pending "innovation" projects – as "impact on living wage initiatives" is the only example of potential projects.

Recommendation: True innovation should be allowed outside the realm of regulation. Delete this section and address innovation via Bulletin or other Department method of communication.

Citation: 6100.45. Quality management

Discussion: While quality management is important, the new chapter poses several nearly impossible requirements such as "individual and family satisfaction surveys *and informal comments by individuals, families and others*" or "analyzing the successful learning and application of training in relation to established core competencies." (VERY general and VERY vague and VERY cumbersome) To include "informal comments by individuals, family and other" would mean that all staff at all times in all locations and circumstances are collecting and recording comments. Who decides which "informal comments" are used – or how often they are documented – or where they are filed – or how many informal comments are sufficient or how they are responded to or what the response is? Re: b(7) Staff satisfaction.....this is very subjective. Providers are already spending many many hours addressing employee behavior, satisfaction, dissatisfaction, training needs, etc. not to mention personal issues that sometimes spill over into work. This requirement is totally unreasonable. Providers have only had 3 years of experience under the newly required QM under Chapter 51. The extent of additional changes is not necessary.

Recommendation: A provider will implement an evidenced based, quality improvement strategy that includes continuous improvement process, monitoring, remediation, measurement performance and experience of care.

(a) When developing a quality improvement strategy, a provider must take into account the following:

(1) The provider's performance data and available reports in Department's information reporting system.

(2) The results from provider monitoring and SCO monitoring.

(3) The results of licensing and provider monitoring.

(4) Incident management data, including data on incident target(s), repeated or serious incidents, root cause analyses, and quarterly review of incidents.

- (5) Results of satisfaction surveys and reviews of grievances.
- (b) The provider will include the following tasks as part of its quality improvement strategy:
- (1) Goals that measure individual outcomes, experience, and quality of care associated with the receipt of HCBS and related to the implementation of PSP. Absent criteria established by the U.S. Health and Human Services Secretary, providers will establish goals based on identified need within their programs.
 - (2) Target objectives that support each identified goal.
 - (3) Performance measures the provider will use to evaluate progress.
 - (4) The person responsible for the quality improvement strategy and structure supporting this implementation.
 - (5) Actions to be taken to meet the target objectives.
- (e) A provider must review progress on the quality improvement strategy and update at least every 2 years.
- (f) A provider will maintain a written copy of the quality improvement strategy to be available for the Department to review as part of provider monitoring.
- (g) This section does not apply to an SSW provider and to a provider of HCBS in the Adult Autism Waiver.”

Also recommend that the Department invests major resources in the professionalization of the field. True agency and systemwide quality will only improve when we have a better educated (not just trained) work force.

Citation: 6100.46. Protective services

Discussion: There is a lot of duplication in reporting requirements.

Recommendation: Protective services - (c)(3,4,5) – If a provider is completing a report on EIM, then this should suffice for notifications unless it is a report that needs to be submitted to APS or Office of Aging.

Citation: 6100.47. Criminal history checks

Discussion: It seems that every single person associated with the organization, unless they are a “natural support” will need criminal history checks. This will have the potential of limiting greater community (ie: the general community in which we are all operating) involvement. We want our individuals to have everyday day lives but if “an outsider” is going to help us get there – we need to screen them.

Recommendation: Criminal history checks – (b)(1) – Please clarify whether there is an age requirement, since it is believed that criminal history checks may not be completed on children.

6100.47

Criminal history checks – (b)(3) – Please clarify who is responsible for getting the criminal history check if the consultant is billing ODP directly (the consultant, SC, etc.?).

6100.47

Criminal history checks – (d) – Please consider rewording as follows: “Individuals providing paid or unpaid supports with direct contact with the individual in services.” If the department keeps the “natural supports” reference, please consider changing to “Individuals delivering natural supports”.

Also, there is a concern whereby a family member (who is providing natural supports) could

be a convicted sex offender but it is unknown to others – this person would seemingly be exempt from having to get a criminal history check.
Also, natural supports can also include volunteers (e.g., a local church group helping an individual get to and from church every Sunday). Please clarify whether (b)(5) of this section would trump (d)

Citation: 6100.48. Funding, hiring, retention and utilization

Discussion: This regulation actually has to do with qualifications prior being hired, or funded etc...consider a different “header”

Recommendation: Funding, Hiring, Retention, and Utilization - (a) – This requires hiring in accordance with the applicable provisions of the OAPSA. However, the court held some of these hiring provisions as being unconstitutional on its face. Please provide clarity in the regulations which provisions are applicable. (Peake v. Commonwealth 2015; Nixon v. Commonwealth 2003)

Citation: 6100.49. Child abuse history certification

Discussion: Child Abuse History Certification. Rather than requiring each provider to interpret the Child Protective Services Law, please insert language in this section for what is required and not required. At a minimum, please clarify whether providers who do not provide services for individuals under age 18 need to now begin to require child abuse clearances.

6100.50

Citation: 6100.50. Communication

Discussion: It is sometimes difficult, if not impossible, to truly ascertain whether or not, or how much an individual understands. This is very subjective and vague. Additionally, it is not clear which provider is responsible for providing the (paying for) the technology. Please clarify.

Recommendation: add language such as “Written, oral and other forms of communication with the individual, and persons designated by the individual, shall occur in a language and means of communication *as best and to the extent understood* by the individual or a person designated by the individual.

Citation: 6100.51. Grievances

Discussion: An employer, cannot and will not tolerate retaliation. However, and employer cannot “assure” that another employee or co-worker or family member or individual will not act in a retaliatory way. The types of grievances should be spelled out (addressed here and in the waiver).

Recommendation: Consider rewording to “will not tolerate...”

Re: 6100.51 (i) add “if known” (because the initiator might not be known)

Re: 6100.51 (i) – add wording to prohibit the contents of written notice from violating anyone’s confidentiality. (those who file complaints sometimes demand or expect more information than they are entitled to)

The department must address / spell out the types of grievances that this waiver intends. It is not uncommon across the state, for family members to refuse to accept services from staff person if they do not like the color of their skin or because of their sexual orientation. Family members must understand that by accepting a Medicaid waiver for their loved one, they must also adhere to federal law prohibiting discrimination.

Citation: 6100.52. Rights team

Discussion: Providers work very hard to honor and protect individuals' rights. When someone's rights are violated, an incident is reported and investigated. This new requirement cannot be implemented as written for the following reasons: The code states that each provider is "required to have a rights team" however all of the subsequent requirements make it clear that *each individual* has a rights team based on each incident. In fact the individual is ON the team. Thus a provider could potentially have dozens of rights teams – one for each individual who has a rights (or alleged rights or suspected rights) violation. To require the team to (iii) "discover and resolve the reason for an individual's behavior" is antithetical to an understanding of human behavior (an individual's behavior can be supported, understood, addressed, etc) but NOT RESOLVED. Additionally, with rights violations – a provider is most concerned with the *behavior* of the "target" – the person who violated someone else's rights. No need to "blame the victim" – as if something in their behavior caused an incident or a rights violation. Meeting quarterly with the individual for something that happened in the past is not productive. Making the team a majority of persons who do not provide direct services is not helpful precisely *because* they are not involved in the day to day care of the individual and the dynamics between the individual and other staff or other individuals.

Recommendation: Delete this section. There is no need to add a separate "Rights Team." In associated licensing regulations, a long-standing and well-established process exists for the oversight and appropriate management for the use of any restrictive procedures, including restraint. The regulations have already established the "Restrictive Procedures Committee" and restrictive procedures process which is tasked with the same basic functions of the newly created team. By replacing a currently existing and appropriately operation expectation, unnecessary costs are added to the system. It is entirely unclear why the creation of a new "rights team" is necessary or adds any value to the actual protection of individuals' rights, but rather only would add cost and administration burden. Individuals who are not satisfied with the follow up or corrective action plan have recourse to filing a complaint or grievance.

Citation: 6100.81. HCBS provider requirements

Discussion: The regulation wording under provider requirements should more accurately match *the actual* requirement for provider enrollment (for example – a license from the Dept. of Health" is mentioned in 6100.81 (c) – but is NOT in fact required for most facilities. This is VERY important, because provider enrollment has historically been extremely slow and is often held up because providers miss one or two documents – that were NOT listed correctly / clearly in the published directions. This then caused LONG delays for providers and worse – for individuals waiting to receive services.

Recommendation: Include wording that matches the actual provider requirements:

A provider enrollment application, on a form specified by the Department.

A medical assistance provider agreement, on a form specified by the Department.

A home and community-based waiver provider agreement, on a form specified by the Department.

Verification of compliance with § 6100.81(2) (relating to pre-enrollment provider qualifications).

Verification of compliance with § 6100.476 (related to criminal history background checks).

Documents required in accordance with the Patient Protection and Affordable Care Act (Pub. L. No. 111-148).

Verification of successful completion of the Department's pre-enrollment provider training as

specified in § 6100.142 (related to pre-enrollment training).

Monitoring documentation

Copies of current licenses, if applicable, as specified in § 6100.81(2) (relating to provider qualifications).

Verification of compliance with § 6100.46 (related to criminal history background checks).

Prior to applying for participation in the HCBS program, the applicant shall complete the Department's pre-enrollment provider training.

Additionally: 6100.81 (c) 1 & 2 seem to contradictory or confusing. Please clarify.

Citation: 6100.85. Ongoing HCBS provider qualifications

Discussion: It is unclear as to how often a provider's qualifications are verified. It seems that with technology – this is easily tracked in real time .

Recommendation: Update the statewide IT system to reduce providers time in entering the same information over and over again.

Citation: 6100.141. Annual training plan

Discussion:

Because of the unique needs of the many individuals served by providers – not ALL positions will require the same courses (6100.141 d(2), Some DSPs need a lot of training on aging issues, others on medical issues, and other on behavioral health issues – to name a few. there needs to be some flexibility. This requirement seems to be asking that every staff member has an annual training plan – that must – at a minimum cover certain topics, and not, as (a) suggests – that the “provider” have an annual training plan.

Recommendation: Re; 6100.141(c) Please list the core competencies so that system wide expectations are clear.

Citation: 6100.142. Orientation program

Discussion: When a provider hires a consultant, it is usually because the consultant possesses some professional expertise that the provider does not have. Adding a training / orientation requirement for consultants will add hours and cost to consulting agreement. Additionally, the topics identified (abuse, rights, incident reporting and job related skills) are often (though not always) way outside of a consultant's responsibility. The provider is still ultimately left with the responsibility of reporting, addressing and following up on all such matters.

Recommendation: Consultants should not be required to receive such detailed orientation because 1. They are competent professionals 2) there is too much time and cost involved – and sometimes individuals and agencies need help quickly and 3) Consultants who are used by more than one agency – by this definition would need to be “orientated” by every agency they work for.

Recommend *the Department* develop and administer a training for consultants so that providers are not re-inventing the wheel – all mandated topics are statewide. This would

mean NO COST to the providers.

Recommend that for all non-DSP / program staff – orientation and training focus on “Everyday Lives” – a code of ethics, and the “big picture” rather than on specific policies and procedures which they most likely will never have to act on.

Citation: 6100.143. Annual training

Discussion: As written, the regulations are confusing. It would make more sense to address orientation first, and then move on the annual training plan and annual training. It is “splitting hairs” to make these separate – since there is so much overlap.

Specifying that 8 of 12 hours must be on certain, listed topics is unnecessary, because the items that MUST be covered will take at LEAST 12 hours if done correctly.

Additionally, while the topics listed in the waiver are important and necessary – and presumably the rates will be built to meet the 12 & 24 hour requirement, providers are still required to cover many training topics that are not listed such as: medication administration (16 -24 hours alone!), fraud waste and abuse prevention, compliance issues, handling grievances and complaints, proper documentation of service delivery, safe vehicle use, safeguarding client resources, quality management, professionalism, interacting with family members, ODP monitoring requirements, emergency medical treatment, fire safety, first aid, CPR and more.

The Department must understand that providers are required – whether mandated by regulation – or by best practice – or by agency requirement, to provide extensive training that goes way beyond 24 hours of narrowly defined requirement. And must set rates accordingly. Compliance with bare minimum standards will not ensure system wide quality.

Recommendation: AWC and OHCDs should be removed from the regulations and that Transportation Trip and Unlicensed home and community based providers be excluded from 6100.143 as written. This list of training is geared strictly towards licensed providers and impedes the promotion of family support models of service delivery. A prescribed number of hours for training will not support appropriate training specific for the individual and does not afford the opportunity for families/participants and the unlicensed providers and Transportation trip providers that support them with the type and frequency of training that is needed for the individual. When there are established mandates to hours versus individuality, the quality is a lost and the opportunity to supporting the values of ODP and everyday lives is lost. The current unit rates will not support the increase in training requirements. Optimally, AWC and OHCDs providers will be removed from 6100 regulations and unlicensed providers and transportation trip providers should have separate training requirements that do not include a specific number of hours.

See comment under 6100.141

Citation: 6100.181. Exercise of rights

Discussion: The language in 6100.181 (b) – is very vague: “shall be continually supported to exercise” his or her rights. What does that look like? What does that sound like?

Recommendation: Please specify exactly what is meant by “continually supported to exercise” rights. Explain how that is done, how it is documented, how it is proven or how compliance would be measured.

Citation: 6100.182. Rights of the individual

Discussion: Re: 6100.182 (b) If individuals have the right to speak freely, then they should also have the right to be free from allegations of and investigations of verbal abuse every time they say something that offensive to another individual. Re: (f) presumably is not referring to “activities” such as going to the doctor, getting a flu shot, seeing the dentist, or other related supports, because individuals are NOT allowed to refuse to participate in these activities. Please clarify types of activities that this section refers to. Re (g) When this right is beyond an individual’s abilities, please specify that it is acceptable for someone else to “control” the schedule and activities on behalf of the individual.

Recommendation: If this right is left as written, recommend adding that the individual will be held accountable for “speaking freely” if another individual, a staff person, a behavior specialist, or a consultant, feels that the speech is abusive or allegedly abusive.

Same recommendation for (e) – If a person makes a choice and “accepts” risks, then they should be free from accusations based on another individual’s interpretation of that behavior. Currently - as related to incident management – providers are being required to enter incidents based on the values and perceptions of staff and other “outside” individuals and NOT on the individuals’ words and actions or on the perceptions / understanding of the individual.

(k) recommend adding wording to accurately reflect that which is possible. Often individuals mistake “rights” for a carte blanche entitlement to everything they want when they want it. (My life My way). But we all live within parameters of what is feasible and reasonable. Recommend adding wording such as “so long at all waiver and regulations are met” -

Recommend adding individuals have a right to be educated about the consequences for violating another’s rights (perhaps addressed in 6100.183)

Citation: 6100.183. Additional rights of the individual in a residential facility

Discussion: It needs to be made clear that individuals have the right NOT to exercise all of their rights (ie: they have a right not to have a lock on their door if they so choose) In an everyday life – we all have the right to vote – but many choose not to. Additionally – many individuals have limited financial management abilities. A “right” to unrestricted access to telecommunications – could be interpreted as a right to a data / coverage plan that one cannot afford. Re: (a) allowing visitors at any time as decided by the individual makes sense for those who own their own home but not for an agency owned site – due to liability. There is a tremendous amount of research regarding the vulnerability of individuals with IDD, particularly in intimate relationships. If the intent of the regulations is to protect and preserve the health and safety of individuals then serious reconsideration should be given to this “right” OR it should be made clear that in exercising this right, the individual will accept full responsibility for any unintended or unforeseen events as the result of his or her visitor.

Recommendation: Make clear that individuals rights cannot conflict with regulation, with others’ rights, or with documented health and safety information in the ISP. (ie: access to food at any time is clearly contraindicated for a person with Prader Willi)

Citation: 6100.184. Negotiation of choices

Discussion: The title here is mis-leading. The regulation is NOT referring to individuals' choices but rather to individuals' rights. Ie: the rights of one cannot trump the rights of another.

In group home / living situations – negotiation of choice is not an isolated “event” or a single conversation...but rather an ongoing dialogue and constant conversation and compromise. Choice negotiation is extremely subjective – and based on many many variables. No one procedure can be expected to resolve differences to everyone's satisfaction.

People without disabilities understand that along with rights comes responsibilities. We should be helping people with disabilities to understand the same thing. The individuals are given all of these rights, but the provider ultimately holds all the liability and responsibility for the results of “choices” and exercising of rights.

Recommendation: Since “rights” should be non-negotiable – the wording should reflect more accurately that which is intended by this regulation:

Suggest: Responsible exercising of rights

Citation: 6100.186. Role of family and friends

Discussion: Family and friends are by definition “natural supports.” It is unreasonable to “regulate” that role. There is way too much variance in family roles / dynamics to mandate a provider role in “facilitating” and making “accommodations necessary.”

If all activity here is under the direction of the individual, then the provider has a very limited role to play – and again that role should NOT be regulated.

Recommendation: delete this section.

Citation: 6100.221. Development of the PSP

Discussion: An ISP is by definition a Person Centered Support Plan. The “plan” has undergone several title changes over the past 20 years, but the content remains virtually the same. Changing the language for the sake of a few updated / nuanced additions is un called for. Additionally it will required tremendous time and cost statewide at all levels.

Recommendation: Continue to call the plan an ISP. Update content as desired.

Define what the “service implementation plan” is. (ie: is this a separate “plan” from the ISP?)

Re: 6100.221 (f) – please define what constitutes a “current assessment”

Citation: 6100.222. The PSP process

Discussion: Please define how the individual “directs” the PSP process. Ie: What are they expected to do? How will they know what the PSP process is? What if they are not capable of directing the PSP process or they do not want to “direct” the process?

Recommendation: Rewording is needed:

6100.222 (b) (1)A PSP process does not invite and include individuals....An individual must identify and include individuals. Please describe exactly WHO is doing (b) 1-11.

Citation: 6100.223. Content of the PSP

Discussion: More information is needed:

As written- (8) “the schedule of support delivery shall be determined by the PSP team....” Seems to contraindicate individuals rights “ 6100.182 (k) “the individual has the right to choose where, when and how to receive needed support”

Recommendation: include information on behavioral supports needed.

Re: (14) – consider adding this to 6100.184 – re: negotiation of rights / balanced w/ risk. Or refer to THIS reg under .184.

Content of the PSP - (8) – Experience suggests that the phrase “amount, duration and frequency” may be causing more problems for providers than any other single requirement. There is tremendous variation on how ISPs – PSPs are written. Recommend adding very clear language that allows flexibility but also cannot be interpreted differently by licensors and AEs.

Citation: 6100.226. Documentation of support delivery

Discussion: Since individuals have the right to refuse to participate, then that alone should be sufficient documentation why utilization is low. The provider should not be put in a position of having negative monitoring results or inadequate documentation due to individuals exercising their rights. Refer back to 6100.182 and .183

Recommendation: ODP should develop a statewide mandated form for use by all providers. This will greatly reduce “violations” due to variance among providers.

Citation: 6100.261. Access to the community

Discussion: Somewhere in this regulation – the department needs to make it clear that – as in all everyday lives – individuals have to plan community outings “according to their means” (ie: they may want / desire / chose to have season tickets to the Pirates, but they can only afford to go to 3 games per year. Additionally, ODP must be willing to pay for the staff portion of “access to the community” because of the required role in facilitating it....and keeping people safe.

Recommendation:

Citation: 6100.262. Employment

Discussion: Many of our individuals are living good long lives. Providers have been saying for years that folks should have the right to retire. There is no mention of people at or near retirement age.

Recommendation: Add a provision for retirement – which is a valid component of an “Everyday life”

Citation: 6100.263. Education

Discussion: Higher education is very expensive.

Recommendation: Please describe where the funding comes from for (1-4)

Citation: 6100.303. Reasons for a transfer or a change in a provider

Discussion: Discussion 6100.303:

This section is defined too narrowly to be practicable to the point that it contradicts other portions of the chapter and are unable to execute the residency agreement. There are many circumstances such as program closure, safety of others, Megan’s Law, eminent domain, court or other legal actions, eviction by a landlord of the provider, natural disasters, provider closure which may require transfer or change in spite of individuals’ wishes. This list is not exhaustive – they regulation needs to allow for unforeseen occurrences.

What if exercising rights impinge on others, is that grounds for transfer? What if rights place the individual or others at risk? 6100.184(a) states, “An individual’s rights shall be exercised so that another individual’s rights are not violated.”

Recommendation: Change (a) to read: A change in provider, against the individual’s wishes will be made only in for serious reasons including:.....

Citation: 6100.304. Written notice

Discussion: There are 3 main parties involved in notice of a provider no longer being “willing or able” to provide a service: The SC, the individual / family, and the provider.” There are many PSP team members who do not need to be informed of a change in one provider of one service. The Department and the AE will find out about the change when a critical revision or update is made. Since they have NO role in the decision about he change – they do not need notice of it.

Recommendation:

Citation: 6100.305. Continuation of support

Discussion: There is a fundamental lack of understanding on ODPs part as to why it is sometimes impossible for a provider to continue providing services. The workforce is simply 1) not large enough (too many vacancies) or 2) qualified enough. When individuals have complicated medical or behavioral healthcare needs - a provider cannot simply pull staff out of thin air. Nor can a provider force staff to stay in a situation that they feel unsafe in or unqualified for. Even with additional funding – the enormous amount of pre-service training that is required makes replacing staff a very long process.

Recommendation:

Citation: 6100.341. Use of a positive intervention

Discussion: Overall, this section should be reviewed and rewritten by a person with a clinical background. As written, it is lacking best practice. Please define as much of the terminology as possible.

Recommendation:

Citation: 6100.342. PSP

Discussion: Title “PSP” here will be confusing when also referenced in 6100.221
This section is only about a very narrow piece of the PSP namely “dangerous behavior”

Recommendation: move this section to the PSP section

Strongly recommend finding a different term than “dangerous behavior” – which sounds predatory and has a tone that harkens back to the days of institutionalization ...and society’s fears of people with IDD as “dangerous”

Consider: Risky behavior or potentially harmful behavior.

Citation: 6100.343. Prohibition of restraints

Discussion: Title can be misleading to appear that no restraints are allowed, ever

Recommendation: Change title to “Prohibition of certain types of restraints.”

Citation: 6100.401. Types of incidents and timelines for reporting

Discussion: Med errors should not need to be reported w/in 24 hours, but rather 72 hours as listed in

Recommendation: re: individual to individual incidents: Require incidents to reported not just on the victim but on the “target” – There are many individuals who are the initiators of incidents – yet their behavior and support and corrective action plans and ongoing need for therapy – is NEVER captured or recorded. An individual could be involved in 10 separate incidents with 7 different individuals and there yet in EIM- that individual shows NO incidents.

Citation: 6100.402. Incident investigation

Discussion: The Department already has a mandated training for certified investigators – and they are trained on who to ask and what to consider. The entire process is comprehensive and thorough. There is no need for an additional “type” of investigation – ie: with a small “i”. However – all incidents are indeed analyzed – both on an individual basis and quarterly – in relation to all other incidents.

Recommendation: Move 6100.405 to 6100.403 – do not use the word “investigating” in any other way than when intended as “Certified Investigation”....this is more practical and useful to providers.

Citation: 6100.403. Individual needs

Discussion: Individual Needs - (b) – This seems unnecessary since corrective action plans already have to be implemented, and 6100.405 requires analysis of incidents both individually and in aggregate.

Recommendation: please clarify who decides whether either action is appropriate. If it is left to the provider to decide, then it is not necessary to add this as a regulation to eventually be monitored. Also, please clarify whether this duplicates or supersedes the regulation already found in PA Code 6000.901 Subchapter Q.

Citation: 6100.405. Incident analysis

Discussion: Incident Analysis - Many of the activities listed here for incident analysis should really be the function of the individual’s PSP team who is most familiar with the individual and what might help reduce incidents.

Recommendation: Incident analysis – (a) – Please replace “incident” with “investigation”. The term “confirmed incident” is not a term that is commonly used or defined. And, if “confirmed” is simply struck and incident kept, then this section would require an analysis on all incidents, which is unreasonable.

Citation: 6100.441. Request for and approval of changes

Discussion: There are many situations within which individuals would benefit from rapid placement. These situations include natural disasters, program closures, and removal from abuse. It is important that this chapter allow the department to develop an expedited capacity change process to accommodate individual’s needs in their everyday lives.

Recommendation:

Citation: 6100.442. Physical accessibility

Discussion: This item can create remarkable costs. The department needs to develop capacity to compensate providers for these costs in their rate-setting process.

Recommendation:

Citation: 6100.443. Access to the bedroom and the home

Discussion: This proposed regulation, while presumably aimed at ensuring privacy, does NOT align in any way with an everyday life. Most citizens do not live in a house where they need a key to access their own bedroom. Additionally – in meeting individuals every day needs, staff may need to enter bedrooms many times per shift for many many non-emergency or non “life safety” reasons: helping to get dressed, assisting with bed making, collecting laundry or putting away clean clothes, helping to fix someone’s hair, assisting with bed time routines or personal hygiene. Staff are always expected to treat the entering of individuals’ rooms with respect – to ensure dignity and privacy – but to prohibit entry without “express permission” for each incidence of access – demonstrates a serious lack of understanding of the amount of personal assistance our staff are providing on a daily – hourly basis. Further, documenting or proving that “Required express permission of each incidence of access” was granted or denied will be impossible...and if not impossible – it makes a homelike environment seem very much like an institution. Staff who enter bedrooms on a regular basis are not strangers to the individuals. They are kind, caring and dedicated Direct Support Professionals who spend their hours, days, weeks and years building relationships with the individuals they support in a dignified manner.

Recommendation: If an individual desires, chooses or requests that a lock be put on their bedroom door, then a provider will ensure that it happens.

Re: (e) Please specify who decides who is “authorized” – by name? by title? By position?

Recommend language: The rights of the individual to privacy in his/her bedroom should be respected in accordance with sections 6100.181-183, with consideration for the needs of the health, safety, and welfare of the individual as determined in the PSP, or as needed in an unforeseen or emergency circumstance.

Recommend – addressing individual complaints or accusations of violation of privacy – as needed.

Recommend working to reflect language from the Community Rule: Each individual has privacy in their individual sleeping or living unit: Units have entrance doors lockable by the individual, with only appropriate staff having keys to doors.

Citation: 6100.444. Lease or ownership

Discussion: It is necessary under the Community Rule that individuals have a legally enforceable document that offers the same responsibilities and protections from eviction as our prevailing law. To that point, 6100.444(a) is clear and direct. 6100.444(b) while describing reasonable limits, inadvertently refers to providers as “landlords” and to individuals as “tenants” and their units as “leased space”. The rights conferred under the rule and as cited in 6100.444(a) do not make providers landlords. Having the same protections as provided by law does not make individuals tenants nor their spaces “leased”. This language distinction is important in that we need to preserve the American

Disability Act's protection of community residences as homes rather than businesses which can be excluded from residentially zoned areas. This distinction will also be crucial if/when the state develops guiding language or uniform formatting for the residency or room and board agreements in the future.

Additionally – it has already been made clear in regulation 6100.303 regarding the conditions that are grounds for transferring (ie: discharging) an individual.

Recommendation: Remove reference to the Landlord and Tenant Act of 1951. It is not nuanced enough for the actual purpose of an enforceable agreement between a provider and an individual with IDD.

Citation: 6100.446. Facility characteristics relating to size of facility

Discussion: It is not clear whether or not this new regulation is legal or not. The use of a maximum number is – by the Department's own admission – completely arbitrary, and should therefore be omitted. Capping a number of participants working or living near one another seems contrary to ADA and Everyday Lives. The Community Rule does not specify an absolute cap on program size and so neither should Pennsylvania.

Recommendation: Do not place an arbitrary maximum number of participants into the regs.

Citation: 6100.447. Facility characteristics relating to location of facility

Discussion: 6100.447 (a) 1 & 2 & 5 are redundant

It seems that someone with compromised health, or aging needs, or a chronic behavioral or physical healthcare need –could benefit from living in “close proximity” to a hospital. No need to disallow it. Lots of people *without* disabilities live in close proximity to hospitals and nursing facilities – people with IDD should be “allowed” to too. Otherwise – expressly define “close proximity” as it is extremely vague – and could mean one thing in an urban area and another thing in a rural area.

The system has been moving away from institutionalization and segregated living for decades. As more and more programs and services open up IN the COMMUNITY – they will naturally be in closer proximity to one another. It seems that this regulation is trying to fix something that is NOT broken. Unless the Department can provide evidence that people are being served in increasingly congregate settings, or show some evidence based research / data that shows the trend is heading that way, then COMMUNITY providers should have more flexibility in where they develop COMMUNITY based services.

Additionally – regarding the waiver renewal and the addition of people with Autism, the Department should be aware of a movement TOWARDS congregate living – in an effort to foster acceptance and share resources (see <http://www.ahdcp.org/>)

The regs should be careful not to single out people with IDD as SO DIFFERENT than everyone else – that this particular requirement could never apply to another population.....especially while purporting to reflect the values of Everyday Lives.

Recommendation: Consider how discriminatory and limiting this regulation is.

Citation: 6100.462. Medication administration

Discussion: Discussion: Medication Administration

There are two extremely important issues concerning the proposed new regulations pertaining to medication administration. These need to be addressed to prevent unintended negative consequences.

1. Codifying content that requires modifications over time into regulations will lock a crucial component of service provision into temporal practices which will become obsolete as new information, prevailing practices and technology emerge. Duplicating content which is as detail-specific as the proposed five-and-a-half pages of regulation across 5 sets of regulations when the state already has an externally -accepted training module invites discrepancy between the regulations and the training manual and prohibits the training module from staying current as new information, prevailing practices and technology emerge.

2. Requiring 6500 LifeSharing providers to complete and adhere to ODP's Medication Administration Module is a new and counterproductive requirement which is in direct contract to Everyday Lives principals and the Department's stated intent to develop more integrated and natural life opportunities for individuals.

As a ready example of the problem with codifying material which requires change over time, an area has been identified in which the proposed regulations are at odds with prevailing practices as detailed by Title 49 of the State Nursing Board. 49 PA. CODE CH. 21 explicitly provides for Licensed Practical Nurses to accept oral orders for administering medication. The proposed 6100.465 provision only allows this practice for Registered Nurses.

This discrepancy is instructive both to the specific issue regarding LPN's and to the process issue of codifying Nursing Practices content which changes from time to time according to authorities outside of the Department. It is noted that the provider system needs LPN's to be able to do all that state law provides for them to do. In the second case, we need regulations which do not lock providers to standards which may soon become obsolete due to new and emerging best practices and advances. A second example of the problem with trying to maintain this content in multiple places is that there are already discrepancies between the proposed 6100's and the Department's Approved Medication Administration Training. The training's required checklist for medication self-administration has discrepancies with the proposed regulation. There is also a notable practice discrepancy regarding pre-pouring of medications. We should avoid such confusion by maintaining this content in just one place, namely the Medication Administration Training module and not regulations.

Recommendation: Keep the current medication policies and procedures in place.
Do NOT cover 6500s in this regulation.

Citation: 6100.463. Storage and disposal of medications

Discussion: If it isn't broken, don't fix it. It is unclear why there are so many changes recommended under the medication section. It is also unclear as to how these changes will, if at all, improve or enhance quality.

Recommendation: Keep the current medication policies and procedures in place.

Citation: 6100.464. Labeling of medications

Discussion: If it isn't broken, don't fix it. It is unclear why there are so many changes recommended under the medication section. It is also unclear as to how these changes will, if at all, improve or enhance quality.

Recommendation: Keep the current medication policies and procedures in place.

Citation: 6100.465. Prescription medications

Discussion: If it isn't broken, don't fix it. It is unclear why there are so many changes recommended under the medication section. It is also unclear as to how these changes will, if at all, improve or enhance quality.

Recommendation: Keep the current medication policies and procedures in place.

Citation: 6100.466. Medication records

Discussion: If it isn't broken, don't fix it. It is unclear why there are so many changes recommended under the medication section. It is also unclear as to how these changes will, if at all, improve or enhance quality.

Recommendation: Keep the current medication policies and procedures in place.

Citation: 6100.467. Medical errors

Discussion: If it isn't broken, don't fix it. It is unclear why there are so many changes recommended under the medication section. It is also unclear as to how these changes will, if at all, improve or enhance quality.

Recommendation: Keep the current medication policies and procedures in place.

Citation: 6100.468. Adverse reaction

Discussion: If it isn't broken, don't fix it. It is unclear why there are so many changes recommended under the medication section. It is also unclear as to how these changes will, if at all, improve or enhance quality.

Recommendation: Keep the current medication policies and procedures in place.

Citation: 6100.469. Medication administration training
Discussion: If it isn't broken, don't fix it. It is unclear why there are so many changes recommended under the medication section. It is also unclear as to how these changes will, if at all, improve or enhance quality.

Recommendation: Keep the current medication policies and procedures in place.

Citation: 6100.470. Exception for family members
Discussion: Family members should however, be expected to administer medications in the proper way (correct dose, route, time/s, etc.) failure to do so sometimes both compromises the individuals' health and also puts the provider – which knowledge of such mistakes (or intentional decision to not follow doctor's orders)...at risk. Elderly parents often forget...or sometimes have different ideas of what their child / relative actually needs. Or might believe in cutting the pills in half to make them last longer (like they do for themselves). Or they have been given "discretion" by the doctor to "up" or "down" the dose according to observations...etc. Discretion that our staff do not have. This is a difficult situation for providers. Some clarification would be helpful here.

Recommendation:

Citation: 6100.481. Department rates and classifications
Discussion: The heading here is misleading and confusing. It is titled "Department Rates...." But then goes on to list 6 different possible ways a provider might be paid.

Discussion 6100.481: Subsections (a) (1) – (6) are not regulations but mere statements of possible future intent. Current state statute authorizes the Department to adopt regulations governing the provision of a payment for services such as HCBS. Separately, state statute authorizes the Department to contract with managed care organizations. A mere list of payment options serves no regulatory purpose and does not empower the Department to act beyond what it already may do.

Recommendation: delete this section

Citation: 6100.482. Payment
Discussion: Discussion 6100.482: The Department is obligated to pay for HCBS services consistent with the provisions of this chapter 6100. To the extent that the Department seeks to impose any of the provisions of "waiver amendments" or the state plans as mandates, those provisions must be adopted as regulations in accordance with the Commonwealth's regulatory review and approved process.

Recommendation: delete 6100.482 (i)

Citation: 6100.483. Title of a residential building

Discussion:

Recommendation:

Citation: 6100.484. Provider billing

Discussion: Provider Billing - (c) - see comments related to 6100.226 – we need specific and clear guidance on “documentation of support delivery”.

Recommendation: define what is required or develop a department form that will be acceptable to the State, AEs, auditors, providers etc, and not open to interpretation or variance.

Citation: 6100.485. Audits

Discussion: Discussion 6100.485: Providers have the right to know the precise standards that will govern an audit of payments received under this Chapter 6100. Explain the Department’s policy and legal justification for imposing so many different standards on HCBS providers. What other Provider type is subject to so many different audit standards?

Recommendation: Please clarify reasons for additional audit standards for providers of IDD services.

Citation: 6100.486. Bidding

Discussion: Wording under 6100.486 (b) is confusing

Recommendation: language such as: The cost for supplies and equipment cannot exceed the amount that would be paid by a prudent person under the circumstances prevailing at the time the purchase decision was made to incur the cost(s).

Citation: 6100.487. Loss or damage to property

Discussion: Loss or Damage to Property - This should be clarified that the provider would replace the property if it is determined to be as a result of staff negligence, or some fault of the provider, and also allow for the repair of the item instead of requiring that items must be replaced.

Recommendation:

Citation: 6100.571. Fee schedule rates

Discussion: Discussion 6100.571: The proposed regulations reflect a statement of intent as opposed to establishing an enforceable standard of practice by the Department and fails to explain the precise methodology that ODP will actually rely upon to establish payment rates. ODP's proposed text essentially carries forward the worst elements of Chapter 51 – vagueness, unfettered discretion and lack of an affirmative duty to establish payment rates consistent with federal law (42 U.S.C. §1396 a(a)(30)(A). PAR's proposed amendments reflect adherence to aligning payments with allowable costs incurred by providers to meet the documented needs of Waiver Program consumers. Providers are entitled to predictability, reliability, and accountability in the rate setting process. Reliance on statements about "review" and "consider" along with the vague reference to "criteria that impacts costs" are too imprecise and contrary to the Department's legal obligation to develop payment rates that are sufficient to meet the costs that providers must incur to meet the needs of their waiver program clients.

Recommendation: Fee Schedule Rates - (a) - The language should be written to obligate the department to actually use rates that reflect whatever changes result from the refresh discussed in (b) (i.e., as written, the department seems to be able to refresh the data but then keep rates the same).

Fee Schedule Rates (b) – RCPA is pleased that the department has proposed language that requires it to refresh the market-based data used to develop rates.

However, instead of every three years, it should be done every year.

Also, the word "refresh" should be changed to "rebase" or "rebased".

Fee Schedule Rates - (c) - Language should be added that requires the department to be transparent about the method it used to "consider" the factors indicated.

Also, language should be added that requires the department to be transparent about the sources of data and information used.

Also, if the department does not include language requiring an annual refresh (or rebasing) of market data, then the language ought to say the department will apply a cost-of-living-adjustment based on the federal home health market basket index.

Fee Schedule Rates – (c)(2) - Language should be added that requires the department to consider US Department of Labor and PA Department of Labor and Industry statistics for relevant

industries, such as the health care industry, as well as labor statistics for non-health care or human service industries with which ODP-funded HCBS providers are in direct competition for workers (e.g., fast food, retail, etc.).

Citation: 6100.641. Cost-based rate

Discussion: Once a fee schedule is announced, explain how cost based rates, rate assignment, allowable costs and submission of cost reports are relevant.

Recommendation:

Citation: 6100.646. Cost-based rates for residential habilitation

Discussion:

Recommendation: Cost-Based Rates for Residential Habilitation - (b) – Clarify what happens when a unit cost is identified as an outlier.

6100.646

Cost-Based Rates for Residential Habilitation – (c) - The Department will apply a vacancy factor to residential habilitation rates. The statement is pretty open ended. The department should include language that spells out how it will be calculated so that stakeholders can make an informed decision about whether to support the 6100 regulations.

Citation: 6100.647. Allowable costs

Discussion:

Recommendation: Allowable Costs - (a) – Language should be added to define “prudent buyer” and requires the department to be transparent about how “best price” is determined.

Citation: 6100.648. Donations

Discussion: Discussion 6100.648: In a single payer system, which does not reimburse a Provider’s full allowable cost, why does the Department seek to impose limitations on donations? How is that remotely equitable?

Recommendation: A provider may use donations as they see fit to meet the needs of the individuals and programs, when the rate does not meet those needs.

Donations - (c)(3) – This should be deleted. Providers should not have to disclose donated items. It should not impact a cost report since it is not cash that would reduce expenses. The value should not be used against the legitimate costs of providing services.

Citation: 6100.652. Compensation

Discussion:

Recommendation: Compensation - (b) - Recommend allowing bonuses or severance payments for a separation package “not to exceed three month’s salary”. This is normal and customary business practice. Three month’s pay is reasonable.

Citation: 6100.653. Training

Discussion: There is concern that the established rates will correspond to the minimum requirements (12 / 24) hours of training, yet providers MUST provide far more hours in order to ensure competence, quality and compliance.

Recommendation:

Citation: 6100.659. Rental of administrative space

Discussion: Rental of Administrative Space - (a)(1) and (2) - There should not be a difference in allowable cost for administrative space due to the relationship with the lessor – it should be the same as the rental charge of similar space whether the lessor is a related party or not.

Rental of Administrative Space - (c) – It is unclear how the “minimum amount of space necessary” will be determined. As written, it may restrict the ability for expansion of services if limits are placed on the amount of space allowable.

Recommendation:

Citation: 6100.661. Fixed assets

Discussion:

Recommendation: Fixed Assets – (h) – Delete this in its entirety. It does not make sense.

Fixed Assets - (i)(3) – Remove or modify this provision. An annual physical inventory is extremely burdensome to complete.

Citation: 6100.662. Motor vehicles

Discussion:

Recommendation: Motor Vehicles - (3) – Please clarify how often a provider must analyze the cost differences between leasing and purchasing vehicles. Please make it reasonable or delete altogether.

Citation: 6100.663. Fixed assets of administrative buildings

Discussion:

Recommendation: Fixed Assets of Administrative Building - (c) – Delete this provision. A provider should not have to get permission from department to make improvements to their administrative facility. (On what basis will an approval or denial be made? Will such criteria be included in the 6100s? Will appeal rights be included and spelled out?)

6100.663

Fixed Assets of Administrative Building - (f) - This should read that “funded equity” is equity that was built “using department funds”. This provision should not apply to equity built or acquired through donations, fundraising, etc.

Citation: 6100.681. Room and board applicability

Discussion: More details or guidelines are needed to explain what is included and not included in room and board rates.

Recommendation:

Citation: 6100.684. Actual provider room and board cost

Discussion: As written, it will make utilizing HUD vouchers very difficult for individuals who are living in supported living arrangements.

Recommendation: Room and Board Applicability – This should only apply to licensed group home settings - not to unlicensed settings or apartment settings. 6100.684
Actual Provider Room and Board Cost - (a) and (b) – More clarity is needed to define “actual”.
6100.684
Actual Provider Room and Board Cost - (b) - Recommend that the provider do it annually instead of each time an individual signs a room and board contract.
6100.684
Actual Provider Room and Board Cost – (c) – Recommend greater clarity on whether the review of annual actual room and board costs is done per site or in the aggregate. It is recommended that it can be done in aggregate.

Citation: 6100.686. Room and board rate

Discussion: Room and Board Rate – (a)(2) – Proration of board after 8 consecutive absence days is better than what we have now (proration for all absences). Thank you.
6100.686

Room and Board Rate - (a)(2) - Proration of board being changed from every day an individual is away to consecutive period of 8 days or more is an improvement. Thank you.

Recommendation:

Citation: 6100.692. Hospitalization

Discussion: If an individual is hospitalized for more than 30 consecutive days, they are placed in reserved capacity, their belongings remain in the home, and the provider is not able to serve someone else in that room, then the provider should be able to continue to charge room/rent for that time period since the space is not able to be used. It is no different than any tenant having to continue to pay their rent or mortgage even if they are away for an extended period of time.

Recommendation: Hospitalization – Delete this provision.

Citation: 6100.711. Fee for the ineligible portion of residential habilitation

Discussion: Fee Schedule Rates - (a) - The language should be written to obligate the department to actually use rates that reflect whatever changes result from the refresh discussed in (b) (i.e., as written, the department seems to be able to refresh the data but then keep rates the same).

Recommendation: Fee Schedule Rates (b) – RCPA is pleased that the department has proposed language that requires it to refresh the market-based data used to develop rates. However, instead of every three years, it should be done every year. Also, the word “refresh” should be changed to “rebase” or “rebased”.

6100.711

Fee Schedule Rates - (c) - Language should be added that requires the department to be transparent about the method it used to “consider” the factors indicated.

Also, language should be added that requires the department to be transparent about the sources of data and information used.

Also, if the department does not include language requiring an annual refresh (or rebasing) of market data, then the language ought to say the department will apply a cost-of-living-adjustment based on the federal home health market basket index.

6100.711

Fee Schedule Rates – (c)(2) - Language should be added that requires the department to consider US Department of Labor and PA Department of Labor and Industry statistics for relevant industries, such as the health care industry, as well as labor statistics for non-health care or human service industries with which ODP-funded HCBS providers are in direct competition for workers (e.g., fast food, retail, etc.).

Citation: 6100.741. Sanctions

Discussion: Sanctions/Array of Sanctions – As written, 741(b)(1) and 742(1) and (2) would allow the department refuse to pay or close a facility because a provider violated one regulation. This needs to be changed. Please consider adding a weight to particular regulations. (e.g., not having a light bulb that works is not the same as protecting someone from abuse.)

Recommendation: Sanctions - (b)(2) – Please give consideration to extending the time frame. Ten days is often too short of a time to come up with a reasonable, effective corrective action plan, particularly when the lead AE cannot provide a solid list of non-compliances because the lead is waiting for information from other AEs. For example, during the exit interview, the lead AE gives the provider the list of non-compliances found during the audit. However, the lead AE has not yet received reports from other AEs that audited in different counties. So, when the formal list of non-compliances is received by the provider, there are items that weren't included. The provider then has to scramble to identify the causes of the non-compliances and how to correct them in ten days. And the fact is that not every AE provides a complete list of issues in an exit interview. Finally, there are real situations where the lead AE and another AE come up with differing (if not contradictory, outright) findings. That should be resolved prior to the plan of correction.

6100.741

Sanctions – (b)(5) – Please rewrite the “failure to provide free and full access to the department” section recognizing that some things require legal approval or subpoenas. Consider adding “free and full legal and authorized access”.

Also, as worded, it is confusing to whom access is being prevented. Perhaps the intent was really to say that a sanction could be applied for “failure to provide the Department, designated managing entity, or other authorized federal or state officials free and full access.”

Citation: 6100.742. Array of sanctions

Discussion: If these are not licensing regulations, the language should not be so focused on corrective action.

Recommendation: Change title to “Remediation.”

Citation: 6100.743. Consideration as to type of sanction utilized

Discussion: Enforcement – This section should be revised to require the department to utilize a graduated approach to applying sanctions to achieve compliance. It should not be a one-size-fits-all approach. If compliance is the goal, depending on the nature of the violation and the extent to which the provider is a first-time or repeat offender, different variations of the sanctions may be effective at achieving compliance. The regulations should specifically require the department to employ such an approach.

Recommendation: Also, the regulations should allow and spell out an appeal process that permits a provider to appeal a sanction that seems excessive relative to the violation(s).